



## **National Drought Management Authority**

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NAIROBI  
KENYA

### **PREQUALIFICATION OF FIRMS FOR SMALL WORKS FY2020-2022**

**CLOSING DATE: 22<sup>nd</sup> JULY, 2020 AT 10.30 A.M**

**CATEGORY: OPEN**

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**NATIONAL DROUGHT MANAGEMENT AUTHORITY**

**TENDER NOTICE:**

**PREQUALIFICATION OF FIRMS FOR SMALL WORKS**

Tenders are invited for Provision of Small Works under the pre-qualification category to National Drought Management Authority (NDMA) for the period 2020 - 2022. Interested eligible contracting firms are invited to apply pre-qualification indicating the provision small works.

Contractors within arid and semi-arid counties where NDMA is present may submit their applications through our respective NDMA county offices. Pre-qualification documents may be downloaded from the Authority's website: [www.ndma.go.ke](http://www.ndma.go.ke) or from the Public Procurement Information portal: <https://www.tenders.go.ke>

<b>CATEGORYC: Prequalification of Firms for Small Works</b>		
<b>Tender Number</b>	<b>Tender Description</b>	<b>Target Group</b>
NDMA/PRQ/06/2020-2022	Prequalification of Small Works	OPEN

Prequalification documents with detailed specifications and tendering conditions may be downloaded free of charge from the Authority's website: [www.ndma.go.ke](http://www.ndma.go.ke) or from the Public Procurement Information portal: <https://www.tenders.go.ke>

Completed tender and pre-qualification documents in plain sealed envelopes indicating the title of the tender, tender number and category shall be placed in separate envelopes and clearly marked on the envelope as below (**please note, each category must be submitted in a separate envelope**)

PRE-QUALIFICATION OF SUPPLIERS 2020-2022

TENDER NO. CATEGORY No. ....

ITEM DESCRIPTION.....

Should be delivered/posted to the following address: -

**THE CHIEF EXECUTIVE OFFICER  
NATIONAL DROUGHT MANAGEMENT AUTHORITY  
P.O.BOX 53547 - 00200  
NAIROBI.**

or be deposited in the Tender Box at **NATIONAL DROUGHT MANAGEMENT AUTHORITY** Headquarters Lonrho House, 8th Floor Standard Street so as to be received on or **before 22<sup>nd</sup> July 2020 at 10.30 a.m**

Tenders will be opened immediately thereafter in the presence of the candidate representatives who choose to attend at NDMA Boardroom on 17<sup>th</sup> Floor of the same building.

## **1. REGISTRATION INSTRUCTIONS**

### **1.1 Introduction**

The **National Drought Management Authority** (NDMA) referred to as the Authority would like to invite interested contracting firms who must qualify by meeting the set criteria as provided by the NDMA to perform the contract for provision of small works to the Authority.

### **1.2 Pre-qualification Objective**

The main objective is to provide small works services under relevant tenders or quotations to NDMA as and when required during the stated period.

### **1.3 Invitation of Pre-qualification.**

Contracting firms registered with Registrar of Companies under the laws of Kenya are invited to submit their Pre-Qualification documents to the Procurement Office, **National Drought Management Authority** so that they may be pre-qualified for request for quotations. Bids will be submitted in complete lots singly or in combination. The prospective contracting firms shall provide the mandatory information for pre-qualification.

### **1.4 Experience**

Prospective contractors must have carried out successful and relevant contracting services of similar size and complexity. The applicants must demonstrate the willingness and commitment to meet the pre-qualification criteria.

#### **1.4.2 Qualification**

- 1.4.3 The contracting firm must provide proof of having delivered similar small civil and water works
- 1.4.4 Must provide current curriculum vitae evidence of key staff.
- 1.4.5 The firm have professional registration and practicing license.
- 1.4.6 The firm should be registered with the National Construction Authority for both building and water works.

#### **1.5 Pre-Qualification Document**

This document includes questionnaire forms and documents required of prospective suppliers.

- 1.6 In order to be considered for pre-qualification, prospective suppliers must submit all the information herein requested.

### **1.7 Distribution of Pre-qualification Documents.**

A copy of the considered data and other requested information shall be submitted to reach:

**THE CHIEF EXECUTIVE OFFICER  
NATIONAL DROUGHT MANAGEMENT  
AUTHORITY P.O. BOX 53547 - 00200  
NAIROBI**

Not later than, **22<sup>nd</sup> July, 2020 at 10.30 a.m.**

### **1.8 Questions arising from documents.**

Questions arising from documents should be directed to the Procurement Office,  
NATIONAL DROUGHT MANAGEMENT AUTHORITY on the following address:

Deputy Director, Supply Chain Management,  
P.O. BOX 53547 - 00200  
NAIROBI.

Email Address: [supplychain@ndma.go.ke](mailto:supplychain@ndma.go.ke)

### **1.9 Additional Information**

NATIONAL DROUGHT MANAGEMENT AUTHORITY reserves the right to request  
submission of additional information from prospective contracting firms

1.10 Prequalified contracting firms would be shortlisted to respond to request for  
quotations for subsequent services required.

## **2. 2.0 BRIEF CONTRACT REGULATIONS/GUIDELINES**

The firm must provide all the requested Statutory documents e.g. National Construction  
Authority, Tax Compliance Certificate, etc

### **2.4 Payments**

All future local purchase shall be on credit of a minimum of thirty (30) days or as may be stipulated  
in the Contract Agreement.

## **3.0 PRE-QUALIFICATION DATA INSTRUCTIONS**

The attached questionnaire forms PQ-1, PQ-2, PQ-3, PQ-4, PQ-5, PQ-6, PQ-7, and PQ-8 shall  
to be completed by prospective bidders who wish to be pre-qualified for submission of specific  
tender.

3.1 The pre-qualification application forms which are not filled out completely and submitted in the prescribed manner will not be considered. All the documents that form part of the quotation must be written in English and in ink.

### 3.2 **Qualification**

3.2.1 It is understood and agreed that the pre-qualification data on prospective bidders is to be used by NDMA in determining according to its sole judgment and discretion the qualifications of prospective bidders to perform in respect to the Tender Category as described by the client.

3.2.2 Prospective bidders will **not** be considered qualified unless in the judgment of NDMA they possess capability, experience, qualified personnel available and suitability of equipment and net assets or working capital sufficient to satisfactorily execute the contract for goods/services.

### 3.3 **Essential Criteria for Pre-qualification**

- 3.3.1 (a) Experience: Prospective applicants shall have the required experience in contracting or training services and shall show competence, willingness and capacity to service future contracts if pre-qualified.
- (b) Prospective contracting firm should demonstrate require special experience and capability to organize contracting services at a short time.

#### 3.3.2 **Personnel**

The names pertinent information and CV of the key personnel for individual or group to execute the contract must be indicated in form PQ-3.

#### 3.3.3 **Financial condition**

The contracting firm's financial position shall be determined by latest financial statement submitted with the pre-qualification documents and a letter of reference from a bank regarding its credit position. Potential contractors will be pre-qualified on the satisfactory information given.

#### 3.3.4

Special consideration will be given on the financial resources available as working capital, taking into account the amount of uncompleted orders on contract now in progress. Data to be filled provided on form PQ-4. However, potential contractors should provide evidence of financial capability to execute the contract.

#### 3.3.5 **Past Performance**

Past performance will be given due consideration in pre-qualifying bidders. Letters of reference or copies of contract agreements from customers shall be included in Form PQ-6.

### 3.4 Statement

Application must include a sworn statement Form PQ-8 by the tenderer ensuring the accuracy of the information given.

### 3.5 Withdrawal of Pre-qualification

Should a condition arise between the time the firm is pre-qualified to bid and the bid opening date which could substantially change the performance and qualification of the bidder or the ability to perform such as but not limited to bankruptcy, change in ownership or new commitments, then NDMA reserves the right to reject the tender from such a bidder even though they have been initially pre-qualified.

3.6 The firm **must** have a fixed Business Premises and must be registered in Kenya, with certificate of Registration, copies of which must be attached.

3.6.1 The firm must show proof that it has paid all its statutory obligations and have current Tax Compliance Certificate.

### 3.7 Summary of Prequalification Criteria

The specific criteria to be used in the examination and evaluation of applications is in contained in Sections 1.1 and 1.2 of this document.

<b>Required Information</b>	<b>Form Type</b>
1. Registration Documentation	PQ-1
2. Pre-qualification Data	PQ-2
3. Key Personnel	PQ-3
4. Financial Position	PQ-4
5. Confidential Report	PQ-5
6. Past Experience	PQ-6
7. Litigation	PQ-7
8. Sworn Statement	PQ-8



## 1. PQ-1. REGISTRATION DOCUMENTS AND CRITERIA

### 1.1. Mandatory Documents

The contracting firm shall submit the following documents to establish its eligibility to be considered for technical evaluation. Failure to submit any of the listed documents shall lead to automatic disqualification at this preliminary examinations stage.

No	Requirement	Scoring
MR1	Copy certificate of registration or incorporation from Registrar of Companies	Pass/Fail
MR2	Copy of valid Tax Compliance Certificate from the Kenya Revenue Authority	Pass/Fail
MR3	Valid and current certificate of registration with National Construction Authority (NCA) and practicing license for the firm. (NCA 7 and above) for Buildings and Water	Pass/Fail
MR4	Completely filled Form PQ-2 prequalification data registration of suppliers application form	Pass/Fail
MR5	Completely filled Form PQ-3 key personnel	Pass/Fail
MR6	Completely filled Form PQ-4 financial position and terms of trade	Pass/Fail
MR7	Completely filled Form PQ-5 confidential business questionnaire	Pass/Fail
MR8	Completely filled Form PQ-6 past experience	Pass/Fail
MR9	Completely filled Form PQ-7 litigation history	Pass/Fail
MR10	Completed Filled Form PQ-8 sworn statement	Pass/Fail
MR11	Provide C12 for limited Liability Companies	Pass/Fail
MR12	Business using Registered Business Names Must provide a copy of the Owners National Identity Card	Pass/Fail
MR13	Must Paginate all pages	Pass/Fail
MR14	Must provide a copy of Trading License from the County Government	Pass/Fail

**This stage, the tender's submission will either be responsive in the entire mandatory requirement (MR) above or non-responsive. The non-responsive submission will be eliminated from the entire evaluation process and will not be considered any further**

## 1.2 TECHNICAL EVALUATION CRITERIA

The contracting firms that pass the preliminary examination stage, shall be assessed using the following evaluation criteria. The minimum technical score required to pass and be prequalified is **75%**

No	Requirement- CRITERIA	Form	Score (%)
TE01	<b>Firm Experience:</b> Specific experience of the contracting firm related to the assignment; demonstrate having carried out at least 5 assignments in small works services including piping, water works, construction, rehabilitation and desilting of dams or any other repairs, farm ponds and general maintenance in the past five years; as evidenced by submission of letters of reference, completion of certificate, invoices which shows the final payments.	PQ-06	<b>50</b>
TE02	<b>Staff Qualifications:</b> The contracting firm shall submit CVs of at least <i>three key experts</i> capable of contracting for small works services and meet the following minimum criteria; copies of the relevant certificates shall be submitted with the application:	PQ-03	
TE02-1	<b>Academic Qualification:</b> At least a Degree in a field relevant to the subject matter of application.		<b>5</b>
TE02-2	<b>Professional Qualification:</b> At least a diploma qualification and certification in a field relevant to the subject matter of application. Diploma – 5 marks Certification - 5 marks		<b>10</b>
TE02-3	<b>Professional Experience:</b> At least 5 years professional experience in the subject matter. ➤ 5 years – 20 marks < 5 years – 10 marks		<b>20</b>
TE3	<b>Financial Position:</b> The contracting firm should be sound financial position and in compliance with the following criteria:		
TE3-1	The firm shall have no litigation history with any of its Clients in the past 5 years and decisions not against the firm.	PQ-07	<b>5</b>
TE3-2	The firm shall provide valid audited accounts for the previous 2 years i.e 2018 or 2019	PQ-04	<b>5</b>
TE3-3	The firm shall submit a letter of reference from the bank to demonstrate its capability to service future contracts through short terms financing option.	PQ-04	<b>5</b>
	<b>Total Score</b>		<b>100</b>

**2. FORM PQ-2 –PRE-QUALIFICATION DATA REGISTRATION OF SUPPLIERS APPLICATION FORM**

I/We.....hereby apply for registration as supplier(s) (Name of Company/Firm)

Of.....  
(Item Description) Category No.

Post office

Address.....

Town.....

Street.....

Name of Building.....

Room/Office No.....

Telephone Numbers.....

Email.....

Registration No.....

PIN No.....

Bank Account No.....

Branch Name.....

Account Number.....

Contact Person.....

Name of the Director.....

Age of the Director.....

ID of the Director.....

Partnership (if applicable).....

Names of

Partners.....

Business founded or incorporated on .....

**3. FORM PQ-3 KEY PERSONNEL**

Name.....

Age.....

Academic Qualification.....

Under Graduate.....

Post graduate.....

Diploma.....

High School.....

Professional Qualification.....

Length of service with contracting firm and position held

.....  
.....

*(The Consultant shall attach Certificates and CVs of three key personnel)*

#### **4. FORM PQ-4: FINANCIAL POSITION AND TERMS OF TRADE**

The Applicant must submit (a) a copy of the latest audited financial statements for the financial year 2019 or later to demonstrate a positive cash and cash equivalent position at the end of each of the past two years, and (b) a letter of reference from the bank to demonstrate capability to service future contracts through short terms financing option.

**5. FORM PQ-5: CONFIDENTIAL BUSINESS QUESTIONNAIRE**

You are requested to give the particulars indicated in Part I and either Part 2 (a), 2 (b) or 2 (c) whichever applies to your type of business. You are advised that it is a serious offence to give false information on this form. \* If Kenya Citizen, indicate under “Citizenship Details” whether

<p><b>Part I-General:</b></p> <p>Business Name..... Location of business premises                  ..... Plot .....No.....Street/Road.....                  Postal Address.....Tel./No..... Nature of business.....                  Current Trade License. No.....Expiring date..... Maximum value                  of business which you can handle at any time: Kshs..... Name of your                  bankers.....Branch .....</p>																			
	<p><b>Part 2 (a) – Sole Proprietor</b></p> <p>Your name in full..... Age.....                  Nationality.....Country of origin.....                   *Citizenship details.....</p>																		
	<p><b>Part 2 (b) –Partnership</b></p> <p>Given details of partners as follows:</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Name</th> <th style="width: 20%;">Nationality</th> <th style="width: 20%;">Citizens of origin</th> <th style="width: 30%;">Shares</th> </tr> </thead> <tbody> <tr> <td>.....</td> <td>.....</td> <td>.....</td> <td>.....</td> </tr> <tr> <td>.....</td> <td>.....</td> <td>.....</td> <td>.....</td> </tr> </tbody> </table>	Name	Nationality	Citizens of origin	Shares	.....	.....	.....	.....	.....	.....	.....	.....						
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.....	.....	.....	.....																
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	<p><b>Part 2 (c) Registered Company</b></p> <p>Private or Public..... State the                  nominal and issued of company-                  Nominal Kshs.....                   Issued Kshs.....</p> <p>Give details of all directors as follows:-</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;"></th> <th style="width: 25%;"><i>Name</i></th> <th style="width: 25%;"><i>Nationality</i></th> </tr> </thead> <tbody> <tr> <td colspan="3"><b>Citizenship details</b></td> </tr> <tr> <td colspan="3"><b>Shares</b></td> </tr> <tr> <td style="width: 5%;">1.</td> <td>.....</td> <td>.....</td> </tr> <tr> <td>2.</td> <td>.....</td> <td>.....</td> </tr> <tr> <td>3.</td> <td>.....</td> <td>.....</td> </tr> </tbody> </table>		<i>Name</i>	<i>Nationality</i>	<b>Citizenship details</b>			<b>Shares</b>			1.	.....	.....	2.	.....	.....	3.	.....	.....
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<b>Shares</b>																			
1.	.....	.....																	
2.	.....	.....																	
3.	.....	.....																	
<p>Date..... Signature of Candidate.....</p>																			

by Birth, Naturalization or Registration.

**3. FORM PQ – 6 PAST EXPERIENCE**

**The applicant shall use the following format to provide the relevant experience gained within the past 5 years in contracting services. The contracting firm must submit a copy of a reference letter or copy of contract form of agreement or purchase order.**

- i) Name of Client (organization).....
- ii) Address of Client (organization).....
- iii) Name of Contact Person at the client (organization).....
- iv) Telephone No. of Client .....
- v) Value of Contract .....
- vi) Duration of Contract (date) .....

(Attach documental evidence of existence of contract)

2. Name of 2<sup>nd</sup> Client (organization)

- i) Name of Client (organization) .....
- ii) Address of Client (organization).....
- iii) Name of contact person at the client (organization).....
- iv) Telephone No. of Client .....
- v) Value of Contract.....
- vi) Duration of Contract (date) .....

(Attach documental evidence of existence of contract)

3. Names of 3<sup>rd</sup> Client (organization)

- i) Name of Client (organization).....
- ii) Address of Client (organization).....
- iii) Name of Contact Person at the client organization.....
- iv) Telephone No. of Client .....
- v) Value of Contract .....
- vi) Duration of Contract (date) .....

4. Others.....

**4. FORM PQ - 7 LIGATION HISTORY**

**Name of Contract Supplier**

Bidders should provide information on any history of litigation or arbitration resulting from contracts executed in the last **five years** or currently under execution.

<b>Year</b>	<b>Awarded for or against</b>	<b>Name of client cause of litigation and matter in dispute</b>	<b>Disputed amount (current value, Kshs equivalent)</b>



**5. FORM PQ –8 SWORN STATEMENT**

Having studied the pre-qualification information for the above project we/I hereby state:

- a. The information furnished in our application is accurate to the best of our knowledge.
- b. That in case of being pre-qualified we acknowledge that this grants us the right to participate in due time in the submission of a tender or quotation on the basis of provisions in the tender or quotation documents to follow.
- c. We enclose all the required documents and information required for the pre-qualification evaluation.

**Date:** .....

**Applicant's Name:** .....

**Represented by:** .....

**Signature:** .....

**(Full name and designation of the person signing and stamp or seal)**